



2661

Attorney Docket No.: PATENT NUASI-00200 #17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Justin Broughton et al.

Serial No.: 09/774,944

Filed: January 30, 2001

For: **DIGITAL MULTIMEDIA  
CONTACT CENTER**

Group Art Unit: 2661

Examiner:

**TRANSMITTAL LETTER**

162 N. Wolfe Road  
Sunnyvale, CA 94086  
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Customer No.: 28960

*B. Zand*  
*7/6/04*

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**Technology Center 2600**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 5-10-04

By: *Thomas B. Haverstock*  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.  
Date: 5/10/04 By: *J. Haverstock*



PATENT  
Attorney Docket No.: NUASI-00200

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In re Application of:	)	Art Unit: 2661
Justin Broughton et al.	)	Examiner:
Serial No.: 09/774,944	)	<b><u>CERTIFICATION FOR</u></b>
Filed: January 30, 2001	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
For: <b>DIGITAL MULTIMEDIA</b>	)	<b><u>DISCLOSURE STATEMENT UNDER 37</u></b>
<b>CONTACT CENTER</b>	)	<b><u>CFR § 1.97(e)</u></b>
	)	162 North Wolfe Road
	)	Sunnyvale, CA 94086
	)	(408) 530-9700

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I hereby certify that the attached five (5) references contained in this supplemental information disclosure statement were cited within an International Search Report (ISR) mailed on March 25, 2004. **Accordingly, the references contained in the supplemental information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).**

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 5-10-04

By: Thomas B. Haverstock  
Thomas B. Haverstock  
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Attorneys for Applicants

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HAVERSTOCK & OWENS LLP  
Date: 5/10/04 By: [Signature]



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For: **DIGITAL MULTIMEDIA  
CONTACT CENTER**

) Group Art Unit: 2661

) Examiner:

) **SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT**

) 162 N. Wolfe Road  
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**Technology Center 2600**

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

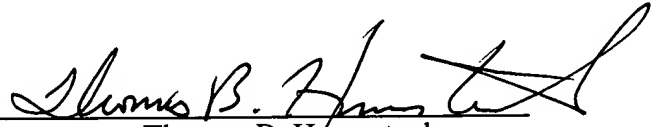
- U.S. Patent No. 5,613,068;
- U.S. Patent No. 6,201,804 B1;
- U.S. Patent No. 6,212,565 B1;
- U.S. Patent No. 6,377,568 B1; and
- U.S. Patent No. 6,434,143 B1.

PATENT  
Attorney Docket No.: NUASI-00200

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 5-10-04


By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(c))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 5/10/04 By: 

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: NUASI-00200		Serial No.: 09/774,944	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use Several Sheets If Necessary)				Applicants: Justin Broughton et al.			
				Filing Date: 01/30/04		Group Art Unit: 2661	
U.S. PATENT DOCUMENTS							
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patented	Class	Subclass	Filing Date
	AA	5,613,068	03/18/97	Gregg et al.	395	200.13	06/17/94
	AB	6,201,804 B1	03/13/01	Kikinis	370	352	03/09/98
	AC	6,212,565 B1	04/03/01	Gupta	709	229	08/26/98
	AD	6,377,568 B1	04/23/02	Kelly	370	352	11/19/97
	AE	6,434,143 B1	08/13/02	Donovan	370	356	11/08/99
	AF						
	AG						
	AH						
	AI						
	AJ						
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation
							Yes    No
	AK						
	AL						
	AM						
	AN						
	AO						
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)							
	AP						
	AQ						
	AR						
	AS						
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	AU						
	AV						
	AW						
	AX						
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Examiner:					Date Considered:		
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							